



CHARITABLE GAMING MANUFACTURER LICENSE APPLICATION

A complete application must be received at least 60 days prior to the intended start of your license gaming or before the expiration of your current manufacturer license. Please ensure you answer every question, regardless of whether you are a first-time application or applying to renew an existing license, unless stated otherwise on the application.

Notice: KRS 238.530(3) provides that no person who is licensed as a distributor shall be licensed as a manufacturer, and no person licensed as a manufacturer shall be licensed as a distributor.

GENERAL MANUFACTURER INFORMATION

1. Name of Applicant (Manufacturer): _____

New Applicant Manufacturer License No. (if renewing): MAN-_____

2. Is the applicant organized as: Corporation

Partnership

Limited Liability Company

Sole Proprietorship

Other

If "Other," please explain the company's organizational structure in detail: _____

3. Street Address: _____

Mailing Address (if different from above): _____

City: _____ State: _____ Zip: _____

County (if US-based): _____ Country: _____

Telephone: (_____) _____ Fax: (_____) _____

Email Address: _____ Website: _____

4. Federal Employer Tax Identification Number: _____

5. Date of Birth (if applicant is a natural person): _____

OFFICER INFORMATION

6. The following information is required for the **chief executive officer and the chief financial officer** of the applicant. Note: These officers shall be subject to a state and FBI criminal history background check, and fingerprinting will be required. Additional information relating to the procedures for the background checks will be forwarded to the applicant. Also note that physical addresses, **not P.O. box addresses**, must be provided for all officers.

Chief Executive Officer

Name: _____
Date of Birth: _____
Social Security Number: _____
Home Address: _____
City: _____
State: _____ ZIP: _____
Country: _____
Telephone: (_____) _____
Email Address: _____

Chief Financial Officer

Name: _____
Date of Birth: _____
Social Security Number: _____
Home Address: _____
City: _____
State: _____ ZIP: _____
Country: _____
Telephone: (_____) _____
Email Address: _____

7. The following information is required for officers of the applicant not listed in question 6 above:

Name: _____
Officer's Title: _____
Date of Birth: _____
Social Security Number: _____
Home Address: _____
City: _____
State: _____ ZIP: _____
Country: _____
Telephone: (_____) _____
Email Address: _____

Name: _____
Officer's Title: _____
Date of Birth: _____
Social Security Number: _____
Home Address: _____
City: _____
State: _____ ZIP: _____
Country: _____
Telephone: (_____) _____
Email Address: _____

Name: _____
Officer's Title: _____
Date of Birth: _____
Social Security Number: _____
Home Address: _____
City: _____
State: _____ ZIP: _____
Country: _____
Telephone: (_____) _____
Email Address: _____

Name: _____
Officer's Title: _____
Date of Birth: _____
Social Security Number: _____
Home Address: _____
City: _____
State: _____ ZIP: _____
Country: _____
Telephone: (_____) _____
Email Address: _____

Attach additional pages if necessary.

INDIVIDUALS WITH FINANCIAL INTEREST

8. The following information is required for **each individual who has a 10% or greater financial interest in the applicant**. Note: These individuals shall be subject to a state and FBI criminal history background check, and fingerprinting will be required. Additional information relating to the procedures for the background checks will be forwarded to the applicant. Also note that physical addresses, **not P.O. box addresses**, must be provided for all officers.

Name: _____

Date of Birth: _____

Social Security Number: _____

Home Address: _____

City: _____

State: _____ ZIP: _____

Telephone: (____) _____

Email Address: _____

Name: _____

Date of Birth: _____

Social Security Number: _____

Home Address: _____

City: _____

State: _____ ZIP: _____

Telephone: (____) _____

Email Address: _____

Attach additional pages if necessary.

MANAGEMENT

9. List all other persons with management responsibilities not listed above:

Name: _____

Date of Birth: _____

Social Security Number: _____

Home Address: _____

City: _____

State: _____ ZIP: _____

Telephone: (____) _____

Email Address: _____

Job title and regular job duties:

Name: _____

Date of Birth: _____

Social Security Number: _____

Home Address: _____

City: _____

State: _____ ZIP: _____

Telephone: (____) _____

Email Address: _____

Job title and regular job duties:

Attach additional pages if necessary.

DISTRIBUTORS

11. Please list the names and locations of all licensed distributors to which you plan to sell or lease charitable gaming supplies and equipment for use in Kentucky:

Name: _____
KY License No. _____
City: _____ State: _____

Name: _____
KY License No. _____
City: _____ State: _____

Name: _____
KY License No. _____
City: _____ State: _____

Name: _____
KY License No. _____
City: _____ State: _____

Attach additional pages if necessary.

12. List all locations, both in and out of Kentucky, at which the applicant manufactures charitable gaming supplies and equipment.

Street Address: _____
City: _____
State/Territory: _____
Country: _____

Street Address: _____
City: _____ State: _____
State/Territory: _____
Country: _____

Street Address: _____
City: _____
State/Territory: _____
Country: _____

Street Address: _____
City: _____ State: _____
State/Territory: _____
Country: _____

Street Address: _____
City: _____
State/Territory: _____
Country: _____

Street Address: _____
City: _____ State: _____
State/Territory: _____
Country: _____

Attach additional pages if necessary.

REGISTERED AGENT

13. If the applicant is not a resident of the Commonwealth of Kentucky, provide the name and address of the applicant's registered agent in Kentucky. Provide a physical address, not a P.O. box.

Name: _____
Address: _____
City: _____
State: _____ ZIP: _____ Telephone: (____) _____

APPLICANT HISTORY

14. Is the applicant currently licensed or permitted to manufacture charitable gaming supplies and equipment in any other state, territory, or country?

Yes No

If "Yes," please list the state, territory, or country:

State/Territory/Country: _____
State/Territory/Country: _____

State/Territory/Country: _____
State/Territory/Country: _____

Attach additional pages, if necessary.

15. Has the applicant had its license revoked or denied or had any disciplinary action taken against it by regulatory authorities in Kentucky or any other jurisdiction?

Yes No

If "Yes," state when, by what regulatory authority, and on what grounds: _____

16. Has the applicant or any individual identified in the response to questions 6, 7, or 8 of this application been indicted or convicted of a crime in federal court, the District of Columbia, or a court of any state or territory of the United States?

Yes No

If "Yes," explain in detail: _____

SUPPLIES AND EQUIPMENT

17. Which charitable gaming supplies or equipment does your company plan to manufacture to be used by licensed charitable gaming organizations in Kentucky?

- Bingo paper
- Bingo flash boards, blowers, and related equipment
- Charity game tickets ("pulltabs")
- Electronic bingo systems or card-minding devices
- Pulltab dispensers
- Electronic pulltab systems
- Supplies or equipment used for festival or carnival games
- Supplies or equipment used for special limited charitable games (e.g., casino games)

CERTIFICATION

I certify, under penalty of perjury, that I am an officer authorized by the applicant to make application for licensure and that I have examined this application for licensure, including accompanying materials, and all information submitted is true and correct to the best of my knowledge and belief. I further certify that the applicant agrees to comply with all applicable laws and administrative regulations regarding charitable gaming in the Commonwealth of Kentucky.

Signature: _____

Printed Name: _____

Officer's Title: _____

Date: _____

Instructions: Submit the completed original application (including all required attachments) along with the \$25.00 fee made payable to "Kentucky State Treasurer" to:

**Kentucky Horse Racing & Gaming
Office of Charitable Gaming
4047 Iron Works Parkway
Lexington, KY 40511
Email: dcg.accounting@ky.gov
Fax: (502) 573-6625**

If you have questions or need assistance completing this application, please call the Licensing Branch at (502) 573-5528 or toll-free in Kentucky, (800) 729-5672.

Visit the Office's website at: dcg.ky.gov

Notice: Kentucky law requires licensees to notify the Office of Charitable Gaming in writing of any changes related to the information provided on this application within 30 days of the date the change occurred. KRS 238.525(6).